

Westchester County Health Care Corporation  
Audit & Corporate Compliance Committee Meeting

January 12, 2018

9:00 A.M.

Board Annex Room

COMMITTEE MEMBERS PRESENT: Mr. Heimerdinger  
Mr. Quintero  
Mr. Israel  
Mr. Vodola  
Mr. Wishnie  
Mr. Geist – via phone

STAFF PRESENT: Gary Brudnicki  
Patricia Ariel  
James Palovick  
Julie Switzer – via phone



The Westchester County Health Care Corporation Audit and Corporate Compliance Committee met on January 12, 2018. The meeting was called to order at 9:00 a.m. by Mr. Heimerdinger, Chair. A Quorum was present.

MR. HEIMERDINGER ASKED FOR A MOTION TO APPROVE THE MINUTES FROM THE MEETING OF OCTOBER 20, 2017. MR. WISHNIE MOTIONED, SECONDED BY MR. VODOLA. THE MOTION CARRIED UNANIMOUSLY.

#### 2018 Corporate Compliance Risk Assessment Summary

Ms. Ariel reviewed for the Committee the 2018 Corporate Compliance Risk Assessment Summary Guide. She reviewed the 2017 Revenue Cycle Data Chart and explained that the DRGs in rust color have been audited previously, the DRGs in blue were recently completed, and the DRGs in white are codes that are still out there and being identified in some areas, some are high risk and some are low risk. Ms. Ariel informed the Committee that approximately ten DRGs audits are performed each year.

A discussion ensued.

Ms. Ariel reviewed for the Committee the Medicare Case Mix; the FY 2017-2018 OIG Work Plan; FY 2018 OMIG Medicaid Work Plan; Government Data Trends; the Payment for Evaluating Payment Patterns Electronic Report (PEPPER); Government Data Trends; 2017-2018 Reimbursement Changes; ICD-10 Implementation; Corporate Compliance Risk Assessment Interviews; and the 2017 Compliance Investigation Trends Analysis.

A discussion ensued.

#### 2018 Corporate Compliance and Internal Audit Work Plans

Ms. Ariel reviewed for the Committee the 2017 Work Plan Carryover audits for WMC. She noted that audits remain on the Work Plans until they are completed.

Ms. Ariel informed the Committee of the 2018 Corporate Compliance Audit Initiatives for WMC as follows: ICD-10 Inpatient Coding and Documentation; Inpatient Psychiatric Certification and Recertification – Valhalla; Ambulatory Surgery Coding – both campuses; Suicide Assessment Review – MHRH; IMRT (Radiation Oncology) – Valhalla; Sleep Center – Valhalla; Speech Therapy – MHRH; and 599 Clinic – Valhalla.

Ms. Ariel informed the Committee that there is a description of each audit initiative for both the carryover items as well as the 2018 initiatives.

Ms. Ariel informed the Committee of the 2017 Work Plan Carryover audits for the Westchester Medical Center Advanced Physician Services (“APS”).

Ms. Ariel informed the Committee of the 2018 Corporate Compliance Audit Initiatives for APS as follows: Documentation and Coding Reviews of High Volume Providers (25 Providers).



Ms. Ariel informed the Committee that there is a description of each audit initiative for both the carryover items as well as the 2018 initiatives.

Mr. Palovick reviewed the 2018 Internal Audit Work Plan Initiatives for WMC. He stated that he follows a similar process where he identifies risk perspectives associated with higher risk functions. Mr. Palovick informed the Committee that there is a description of each audit initiative. He stated the audits include a good mix of financial, operational and information technology audits, as well as an audit of external auditor support and management requested reviews.

A discussion ensued.

MR. HEIMERDINGER ASKED FOR A MOTION TO APPROVE THE 2018 CORPORATE COMPLIANCE AND INTERNAL AUDIT WORK PLANS. MR WISHNIE MOTIONED, SECONDED BY MR. VODOLA. THE MOTION CARRIED UNANIMOUSLY.

Corporate Compliance Update:

Ms. Ariel reviewed the Compliance Audits in progress for the Committee. She stated that there are three audits in progress and the Medicare Outpatient Observation Notice is in draft report and should be completed by the next Committee meeting. Ms. Ariel advised the Committee that the Right Heart Catheterization with Biopsy Coding and Documentation Audit is on hold due to a RAC request in progress.

Ms. Ariel reviewed the Compliance Audits in progress for APS. She stated there are five audits in progress and they should be completed by the next Committee meeting.

Internal Audit Update:

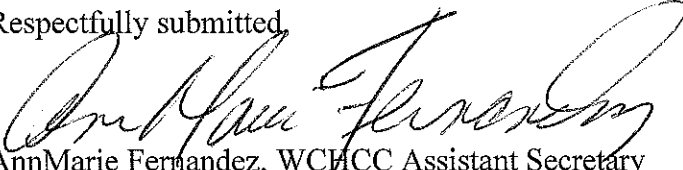
Mr. Palovick advised the Committee of four Internal Audits in progress. He stated those audits included: Diamond Healthcare Contract Administration for WMC; the Ambulatory Care Pavilion Construction Project for WMC; Mobile Devices for WMC; and Allscripts ED System Access Controls for WMC.

MR. HEIMERDINGER ASKED FOR A MOTION TO MOVE INTO EXECUTIVE SESSION. MR. VODOLA MOTIONED, SECONDED BY MR. WISHNIE. THE MOTION CARRIED UNANIMOUSLY.

The Committee moved out of Executive Session at 10:08 a.m.

No other business came before the Committee.

Respectfully submitted

  
AnnMarie Fernandez, WCHCC Assistant Secretary

